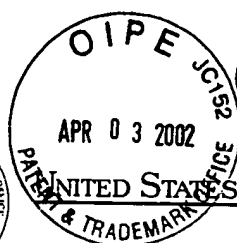


#3



UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/026,637	12/27/2001	Fumio Koyama	111587

25944  
OLIFF & BERRIDGE, PLC  
P.O. BOX 19928  
ALEXANDRIA, VA 22320

CONFIRMATION NO. 7748

## FORMALITIES LETTER



\*OC000000007462018\*

Date Mailed: 02/12/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/05/2002 BABRAHA1 00000042 10026637

01 FC:105

130.00 OP

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Fumio KOYAMA et al.

Attn: Box Missing Parts

Application No.: 10/026,637

Filed: December 27, 2001

Docket No.: 111587

For: SURFACE EMITTING SEMICONDUCTOR LASER AND MANUFACTURING  
METHOD THEREOF**RESPONSE TO NOTICE TO FILE MISSING PARTS WITH DECLARATION**Director of the U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application - Filing Date  
Granted (copy attached) mailed on February 12, 2002, submitted herewith is the executed  
Declaration of the inventors. Any specification attached to and referenced in the Declaration  
is a copy of the specification and any amendments thereto which were filed in the Office in  
order to obtain a filing date for the application.

Attached is our Check No. 129425 for ☒\$130.00 ☐\$65.00 (entitlement to  
small entity status is asserted) for the fee under 37 C.F.R. §1.16(e).

Entry of these documents should complete all of the filing formalities and fully  
satisfy all requirements of the Notice to File Missing Parts. Accordingly, examination and  
allowance of this application in due course are respectfully solicited.

The Director is hereby authorized to charge any additional fee (or credit any  
overpayment) associated with this communication to Deposit Account No. 15-0461. Two  
duplicate copies of this paper are attached.

Respectfully submitted,

James A. Oliff  
Registration No. 27,075Thomas J. Pardini  
Registration No. 30,411

JAO:TJP/cmm

Date: April 3, 2002

OLIFF & BERRIDGE, PLC  
P.O. Box 19928  
Alexandria, Virginia 22320  
Telephone: (703) 836-6400

DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry; Charge any fee due to our Deposit Account No. 15-0461
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